



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Case No. 02-090-B)

In re Application of:

Bakthavatchalam et al.

Serial No.: 09/910,442

Filed: July 20, 2001

For: Capsaicin Receptor Ligands

Commissioner for Patents
Washington, DC 20231

Group Art Unit: 1614

Examiner: not assigned

COPY OF PAPERS
ORIGINALLY FILED

RECEIVED

MAR 11 2002

TECH CENTER 1600/2900

POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE INTEREST
(REVOCATION OF PRIOR POWERS)

As assignee of record of the entire interest of the above identified

☒ application

☐ patent

REVOCATION OF PRIOR POWERS OF ATTORNEY

all powers of attorney previously given are hereby revoked and

NEW POWER OF ATTORNEY

The undersigned hereby appoints all of the practitioners associated with the Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and directs that all correspondence be addressed to that Customer Number:

Customer Number: **020306**

Principal attorney or agent: Steven J. Sarussi

Telephone number: 312-913-0001

Assignee of Entire Interest:

Name: Neurogen Corporation

Address: 35 Northeast Industrial Road, Branford, CT 06405

EVIDENCE AND CERTIFICATION OF CHAIN OF TITLE

☐ Recorded in PTO on _____

Reel _____

Frame _____

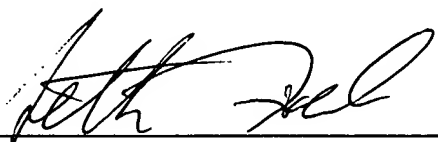
☒ A copy is enclosed herewith (previously submitted to the United States Patent and Trademark Office).

ASSIGNEE CERTIFICATION

In accordance with 37 C.F.R. § 3.73 the assignee hereby certifies that the evidentiary documents with respect to its ownership have been reviewed and that, to the best of assignee's knowledge and belief, title is in the assignee seeking to take this action.

Date:

2/14/02



Name: Seth Fidel

Title: Assistant Secretary to Neurogen Corporation

RECORDATION FORM COVER SHEET
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE

Patent And Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

- | | |
|------------------------------|----------------------|
| 1. Rajagopal Bakthavatchalam | 5. James E. Krause |
| 2. Alan Hutchison | 6. Geoffrey G. White |
| 3. Robert W. DeSimone | |
| 4. Kevin J. Hodgetts | |

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- | | |
|--|---|
| <input checked="" type="checkbox"/> Assignment | <input type="checkbox"/> Merger |
| <input type="checkbox"/> Security Agreement | <input type="checkbox"/> Change of Name |
| <input type="checkbox"/> Other | |

Execution Date: 1. August 15, 2001 5. August 16, 2001
 2. August 20, 2001 6. September 13, 2001
 3. August 16, 2001
 4. August 15, 2001

2. Name and address of receiving party(ies):

Name: Neurogen Corporation

Internal Address: _____

MAR 11 2002

Street Address: 35 Northeast Industrial RoadCity: Branford State: CT Zip 06405Additional name(s) & addresses attached? ☐ Yes ☒ NoCOPY OF PAPERS
ORIGINALLY FILED

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the filing date of the application is: _____

A. Patent Application No.(s) 09/910,442

B. Patent No.(s): _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Patent GroupInternal Address: Foley, HoagStreet Address: One Post Office SquareCity: Boston State: MA ZIP 02109

6. Total number of applications and patents involved (1)

7. Total Fee (37 CFR 3.14) \$ 40.00☒ Enclosed☐ Authorized to be charged to 06,1448 deposit account

8. Deposit account number:

06-1448, Ref.

(Attach duplicate copy of this page if paying by deposit account)

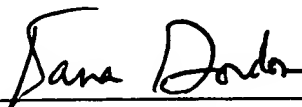
DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Dana M. Gordon, Ph.D., J.D. -- 44,719

Name of Person Signing and Reg. No.



Signature

10/16/01
Date

Total number of pages including cover sheet, attachments, and document: (4)

Mail documents to be recorded with required cover sheet information to:
 Commissioner of Patents & Trademarks, Box Assignments
 Washington, D.C. 20231

ASSIGNMENT

We, Rajagopal Bakthavatchalam, Alan Hutchison, Robert W. DeSimone, Kevin J. Hodgetts, James E. Krause and Geoffrey G. White, for valuable consideration received from Neurogen Corporation, a corporation of the state of Connecticut, having its principal place of business at 35 Northeast Industrial Road, Branford, CT 06405, the receipt of which is hereby acknowledged, do hereby sell, assign and transfer unto said

NEUROGEN CORPORATION

its successors and assigns, the entire interest for the United States of America and all foreign countries including all rights of priority under the International Convention for the Protection of Industrial Property in a certain invention or improvement in

"CAPSAICIN RECEPTOR LIGANDS"

described in application Serial No. 09/910,442 filed on July 20, 2001 in the United States Patent and Trademark Office, and in all Letters Patent of the United States and all foreign countries which may or shall be granted on said invention, or any parts thereof, or on said application, or any divisional, continuing, reissue or other applications based in whole or in part thereon. And we agree, for ourselves and our executors and administrators, with said corporation and its successors and assigns but at its or their expense and charges, hereafter to execute all applications, amended specifications, deed or other instrument, and to do all acts necessary or proper to secure the grant of Letters Patent in the United States and in all other countries to said corporation, with specifications and claims in such form as shall be approved by the counsel of said corporation and to vest and confirm in said corporation, its successors and assigns, the legal title to all such patents.

And we do hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said corporation, its successors and assigns.

WITNESS my hand this 15th day of August, 2001.

By: Rajagopal Bakthavatchalam
Rajagopal Bakthavatchalam

Then personally appeared the above-named Rajagopal Bakthavatchalam and acknowledged the foregoing instrument to be his free act and deed, before me, this 15th day of August, 2001.

Darlene Suarez
Witness signature

Darlene Suarez
Witness Name (please print)

WITNESS my hand this 20th day of August, 2001.

By: [Signature]
Alan Hutchison

Then personally appeared the above-named Alan Hutchison and acknowledged the foregoing instrument to be his free act and deed, before me, this 20th day of August, 2001.

[Signature]
Witness signature

Darlene Suarez
Witness Name (please print)

WITNESS my hand this 16th day of August, 2001.

By: [Signature]
Robert W. DeSimone

Then personally appeared the above-named Robert W. DeSimone and acknowledged the foregoing instrument to be his free act and deed, before me, this 16th day of August, 2001.

[Signature]
Witness signature

Darlene Suarez
Witness Name (please print)

WITNESS my hand this 15th day of August, 2001.

By: [Signature]
Kevin J. Hodgetts

Then personally appeared the above-named Kevin J. Hodgetts and acknowledged the foregoing instrument to be his free act and deed, before me, this 15th day of August, 2001.

[Signature]
Witness signature

Darlene Suarez
Witness Name (please print)

WITNESS my hand this 16th day of August, 2001.

By: James E. Krause

James E. Krause

Then personally appeared the above-named James E. Krause and acknowledged the foregoing instrument to be his free act and deed, before me, this 16th day of August, 2001.

Darlene Suarez

Witness signature

Darlene Suarez

Witness Name (please print)

WITNESS my hand this 13 day of Sept, 2001.

By: Geoffrey G. White

Geoffrey G. White

Then personally appeared the above-named Geoffrey G. White and acknowledged the foregoing instrument to be his free act and deed, before me, this 13 day of Sept, 2001.

Leslie - Anne Horvath

Witness signature

Leslie - Anne Horvath

Witness Name (please print)

Application Serial No.: 09/910,442

Atty Docket No.: NCX-003.01

Applicant(s): Rajagopal Bakthavatchalam, et al.

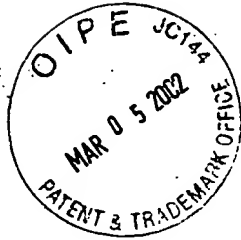
Title: Capsaicin Receptor Ligands

The following was/were received in the U.S. Patent & Trademark Office Mail Room on the date stamped hereon:

1. Recordation Form Cover Sheet;
2. One executed Assignment;
3. A check in the amount of \$40.00; and
4. This return-receipt postcard.

Atty: DMG

Mailing Date: October 16, 2001



**COPY OF PAPERS
ORIGINALLY FILED**

BEST AVAILABLE COPY